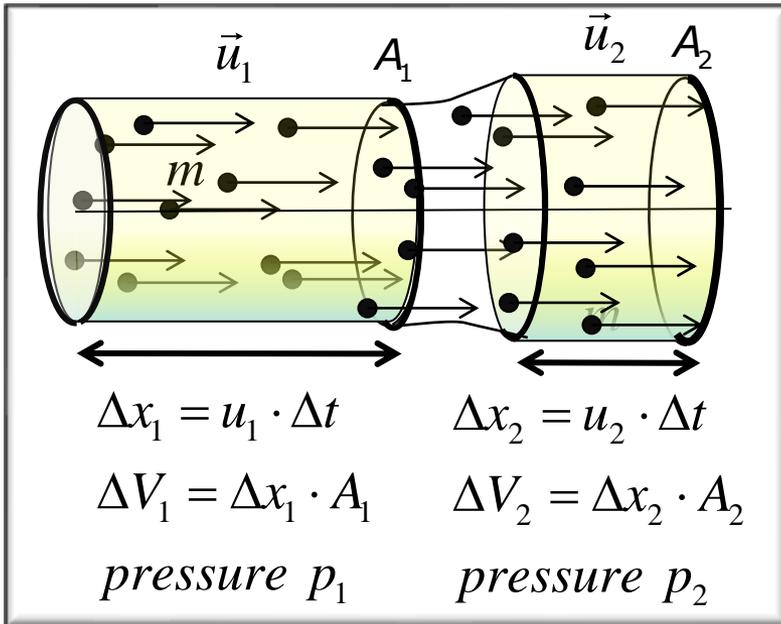
The background is an abstract, dynamic composition of flowing, curved lines in shades of orange, red, and white. The lines create a sense of movement and depth, resembling liquid or smoke. The colors transition from bright orange and red on the left to lighter, more ethereal tones on the right.

# Fluid Dynamics Elements

# Elements of Fluid Dynamics: Ideal Laws



Pushing particles from  $V_1$  to  $V_2$  requires work  $\Delta \mathbf{w} = \Delta(\mathbf{E}_{kin} + \mathbf{V}_{pot})$ . Static pressure  $p$ .

Ideal incompressible, non-viscous liquid, "streamlines" (irrotational flow, no inertia)

Number density :  $\rho = const.$  [ $\# N/cm^3$ ]

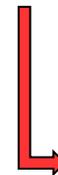
Mass density :  $\rho_m = m \cdot \rho = const.$  [ $g/cm^3$ ]

Flux ( $\#$  particles / time) through tube x - scn area  $A = A_{\perp}$

$$\dot{N} = \frac{dN}{dt} = \rho \cdot u \cdot A \rightarrow \frac{dm}{dt} = \rho_m \cdot \underbrace{(u \cdot A)}_{dV/dt} = \rho_m \cdot \dot{Q}$$

Incompressibility ( $\rho_1 = \rho_2$ )  $\rightarrow$  equal  $\#$  of particles ( $N$ ) flow out of  $V_1$  and into  $V_2$ .

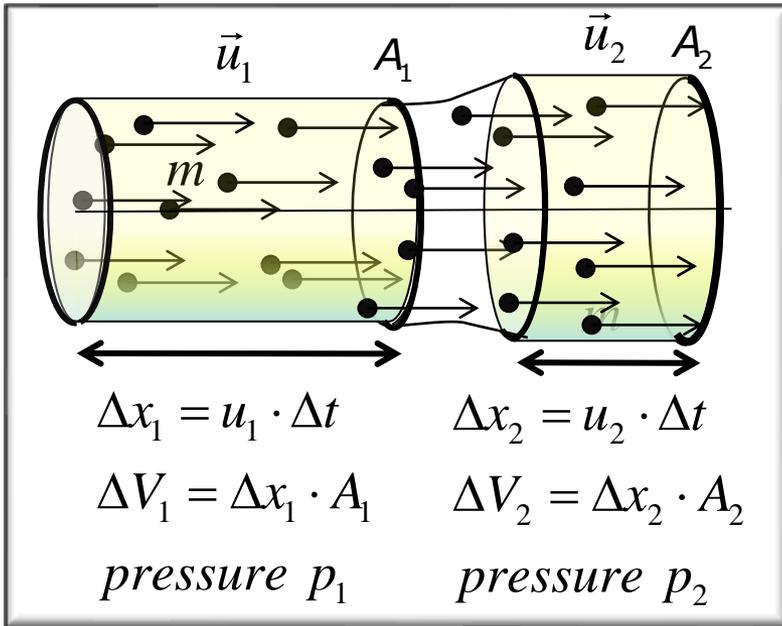
$$\dot{N}_1 = \rho \cdot u_1 \cdot A_1 = j_1 \cdot A_1 = \rho \cdot u_2 \cdot A_2 = j_2 \cdot A_2 = \dot{N}_2$$



Continuity Equation

$$j_m \cdot A = \rho_m \cdot u \cdot A = \frac{dm}{dt} = const.$$

# Application of Fluid Dynamics: Ideal Laws



Ideal incompressible, non-viscous liquid, "streamlines" (irrotational flow, no inertia)

Number density :  $\rho = \text{const.}$  [ $\# N/cm^3$ ]

Mass density :  $\rho_m = m \cdot \rho = \text{const.}$  [ $g/cm^3$ ]

Flux (# particles / time) through tube x - section area  $A = A_\perp$

$$\dot{N} = \frac{dN}{dt} = \rho \cdot u \cdot A \rightarrow \frac{dm}{dt} = \rho_m \cdot \underbrace{(u \cdot A)}_{dV/dt} = \rho_m \cdot \dot{Q}$$

Incompressibility ( $\rho_1 = \rho_2$ )  $\rightarrow$  equal # of particles ( $N$ ) flow out of  $V_1$  and into  $V_2$ .

$$\dot{N}_1 = \rho \cdot u_1 \cdot A_1 = j_1 \cdot A_1 = \rho \cdot u_2 \cdot A_2 = j_2 \cdot A_2 = \dot{N}_2$$

Pushing particles from  $V_1$  to  $V_2$  requires work  $\Delta W = \Delta(E_{kin} + V_{pot})$ . Static pressure  $p$ .

$$\Delta W_{1 \rightarrow 2} = [(p_2 \cdot A_2) \cdot u_2 - (p_1 \cdot A_1) \cdot u_1] \Delta t = \frac{\Delta m}{\rho_m} (p_2 - p_1)$$

$$\text{Loss(?)} \Delta E_{kin} = (1/2) \cdot \rho_m \cdot (A_2 \cdot u_2^3 - A_1 \cdot u_1^3) \Delta t$$

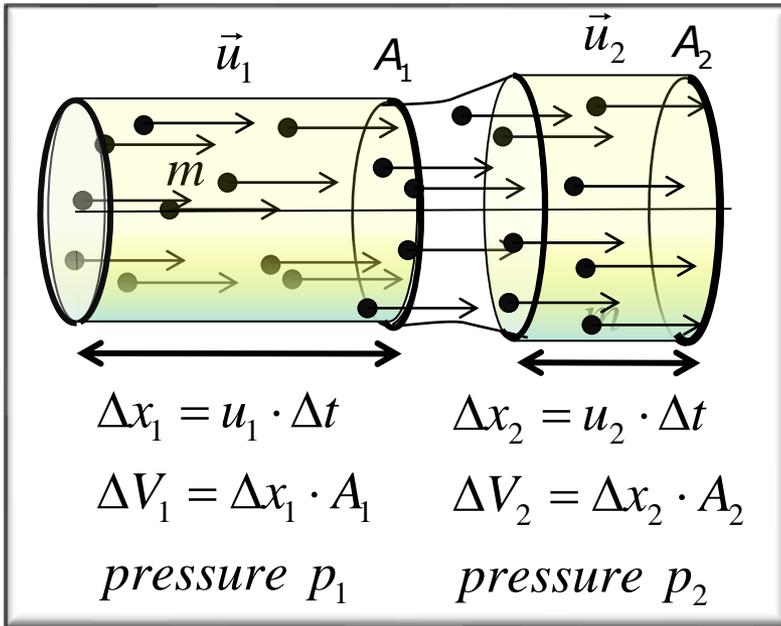
$$\text{Gain(?)} \Delta V_{pot} = \Delta m (v_{Pot,2} - v_{Pot,1}), \quad \Delta m = \rho_m \cdot A \cdot u \cdot \Delta t$$

$$\frac{\Delta m}{\rho_m} (p_1 - p_2) = (1/2) \Delta m (u_2^2 - u_1^2) + \Delta m (v_{Pot,2} - v_{Pot,1})$$

Continuity Equation

$$j_m \cdot A = \rho_m \cdot u \cdot A = \frac{dm}{dt} = \text{const.}$$

# Application of Fluid Dynamics: Ideal Laws



Ideal incompressible, non-viscous liquid, "stream lines" (irrotational flow, no inertia)

Number density :  $\rho = \text{const.}$  [ $\# N/cm^3$ ]

Mass density :  $\rho_m = m \cdot \rho = \text{const.}$  [ $g/cm^3$ ]

Flux (# particles / time) through tube x - scn area  $A = A_\perp$

$$\dot{N} = \frac{dN}{dt} = \rho \cdot u \cdot A \rightarrow \frac{dm}{dt} = \rho_m \cdot \underbrace{(u \cdot A)}_{dV/dt} = \rho_m \cdot \dot{Q}$$

Incompressibility ( $\rho_1 = \rho_2$ )  $\rightarrow$  equal # of particles ( $N$ ) flow out of  $V_1$  and into  $V_2$ .

$$\dot{N}_1 = \rho \cdot u_1 \cdot A_1 = j_1 \cdot A_1 = \rho \cdot u_2 \cdot A_2 = j_2 \cdot A_2 = \dot{N}_2$$

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Continuity Equation

$$j_m \cdot A = \rho_m \cdot u \cdot A = \frac{dm}{dt} = \text{const.}$$

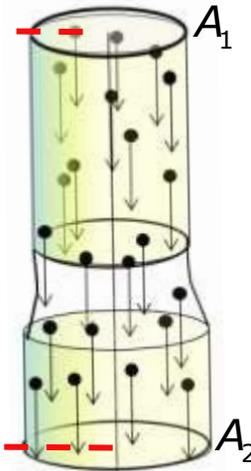
Bernoulli Equation

$$p + (1/2) \rho_m u^2 + \rho_m v_{Pot} = \frac{E}{V} = \text{const.}$$

# Convective Energy Transfer

Apply *Bernoulli Equation* to flow of water with gravitational energy  $\Delta V_{pot} = V_{pot} = m \cdot g \cdot h$

At  $z=h$ :  $p=0$ ,  $u=0$ ,  $V_{pot}=m \cdot g \cdot h$

$$p + \frac{1}{2} \rho_m u^2 + \rho_m v_{pot} = \rho_m \cdot g \cdot h$$


$A_1 = A_2 = A$

At bottom,  $z=0$ :  $V_{pot}:=0$   
 $p \leq \rho_m \cdot g \cdot h$  (= for  $u=0$ )

$$p + \frac{1}{2} \rho_m u^2 + \rho_m v_{pot} = \rho_m \cdot g \cdot h$$

In free fall through potential difference  $\Delta V_{pot} = m \cdot g \cdot h$ , no static "backup" pressure differential ( $p=0$ ) → "jet"

$$E_{kin} \text{ per } \Delta V \rightarrow (1/2) \rho_m u^2 = \rho_m \cdot g \cdot h \rightarrow u = \sqrt{2g \cdot h}$$

If stream with velocity  $u$  exits through area  $A$ , →  
 Volume flow rate  $\dot{Q} := \dot{V} = dV/dt$  and power  $P =$

$$\dot{Q} = \frac{dV}{dt} = A \cdot u = A \cdot \sqrt{2 \cdot g \cdot h} \quad [ ] = \text{Volume/Time}$$

$$P = \underbrace{\dot{Q}}_{dm/dt} \cdot (\rho_m \cdot g \cdot h) \approx 45 \cdot A \cdot h^{3/2} \text{ kW}$$

Static backup pressure

ESTS 3-5-2 Hydro Power 6

# Convective Energy Transfer

Apply *Bernoulli Equation* to flow of water with gravitational energy  $\Delta V_{pot} = V_{pot} = m \cdot g \cdot h$

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$$p + \frac{1}{2} \rho_m u^2 + \rho_m v_{pot} = \rho_m \cdot g \cdot h$$

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If stream with velocity  $u$  exits through area  $A$ , →  
 Volume flow rate  $\dot{Q} := \dot{V} = dV/dt$  and power  $P =$

$$\dot{Q} = \frac{dV}{dt} = A \cdot u = A \cdot \sqrt{2 \cdot g \cdot h} \quad [ ] = \text{Volume/Time}$$

$$P = \underbrace{\dot{Q}}_{dm/dt} \cdot (\rho_m \cdot g \cdot h) \approx 45 \cdot A \cdot h^{3/2} \text{ kW} \quad \text{Rule of Thumb}$$

Example: Head at  $h=175 \text{ m}$ , diameter of penstock  $d=3 \text{ m}$  ( $A = \pi \cdot (d/2)^2 = 2.41 \text{ m}^2$ )

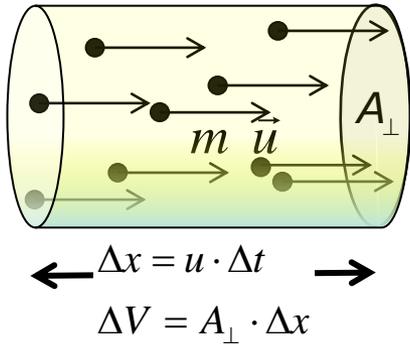
$$u = \sqrt{2 \cdot g \cdot h} = \sqrt{2 \cdot 9.81 \cdot 175} \frac{\text{m}}{\text{s}} = 58.6 \frac{\text{m}}{\text{s}}$$

$$\dot{Q} = A \cdot u = 2.41 \text{ m}^2 \cdot 58.6 \frac{\text{m}}{\text{s}} = 141.3 \frac{\text{m}^3}{\text{s}} = 1.4 \cdot 10^5 \text{ L/s}$$

$$\rightarrow \boxed{P = 251 \text{ MW} (= P_{\max}) \text{ contained in flow}}$$

Static backup pressure

# Fluid Resistance/Parasitic Drag



Estimation of parasitic drag, angle of particle flow component perpendicular to obstacle area.

Continuous flow of particles (mass  $m$ ),

Number density  $\rho$  [ $\#/cm^3$ ], mass density  $\rho_m = m \cdot \rho$

Mass flux density :  $j_m(u) = m \cdot \underbrace{\rho \cdot u}_{j(u)} = \rho_m \cdot u$  [ $g/cm^2 \cdot \Delta t$ ]

Flow speed  $u = u_{\perp}$  ( $\perp$  to area  $A$ )  $\rightarrow$  Kinetic energy density  $e_{kin} = \frac{1}{2} m \cdot \rho \cdot u^2$

Energy flux per  $\Delta t$  onto area  $A = A_{\perp}$  ( $\perp$  to wind direction):

$$\Delta E = \frac{1}{2} (m \cdot \rho \cdot u^2) \cdot \underbrace{(A \cdot u \cdot \Delta t)}_{\Delta V} \rightarrow \text{Power } P = \frac{1}{2} A \cdot m \cdot \rho \cdot u^3 \rightarrow \boxed{P = \frac{1}{2} A \cdot \rho_m \cdot u^3}$$

Get force exerted on area  $A$  from :  $P = F \cdot u \rightarrow F =: F_{drag}$

$$\boxed{F_{drag} = \frac{1}{2} A \cdot \rho_m \cdot u^2}$$

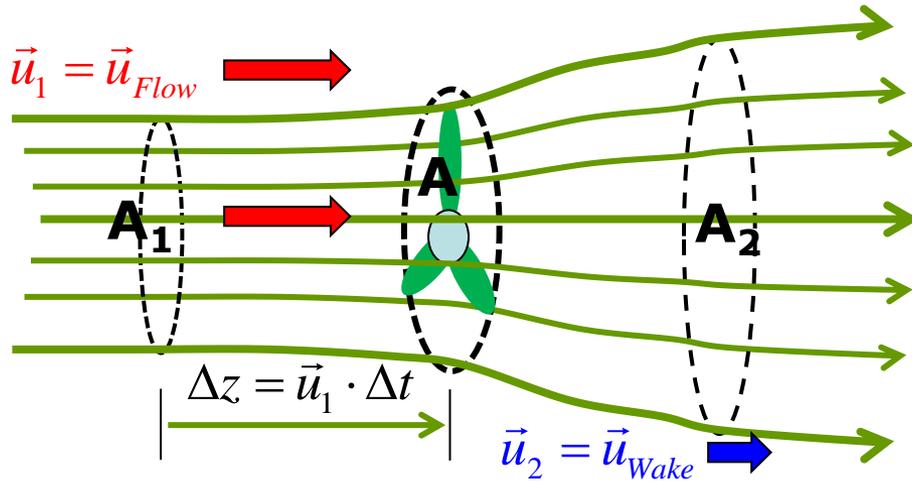
Effective (projected) area hit directly by wind :  $A_{\perp} = C_d \cdot A_{total}$

$$F_{drag} = D = \frac{1}{2} C_d \cdot A_{total} \cdot \rho_m \cdot u^2$$

$$F_{lift} = L = \frac{1}{2} C_L \cdot A_{total} \cdot \rho_m \cdot u^2$$

Derivation valid for **parasitic** drag, e.g., air resistance. Often, experimentally determined **Drag Coefficients** represent total drag/resistance.

# Fluid-Dynamic Power Transfer



At obstacle (turbine),  $u$  slows, stream-lines diverge, flow speed decreases,  $\mathbf{u}_2 = \mathbf{u}_{wake} < \mathbf{u}_1 = \mathbf{u}_{wind}$

$$E_{kin} = \frac{1}{2} \cdot (\rho_m \cdot \Delta V) \cdot u_1^2, \quad \text{volume } \Delta V$$

through  $A$  in  $\Delta t$ :

$$\text{Volume } \Delta V(u_1) = A \cdot u_1 \cdot \Delta t.$$

Power flux  $\perp A$ : 
$$P_i = \frac{\Delta E_{kin}}{\Delta t} = \frac{1}{2} \cdot (\rho_m \cdot A_i \cdot u_i^3)$$

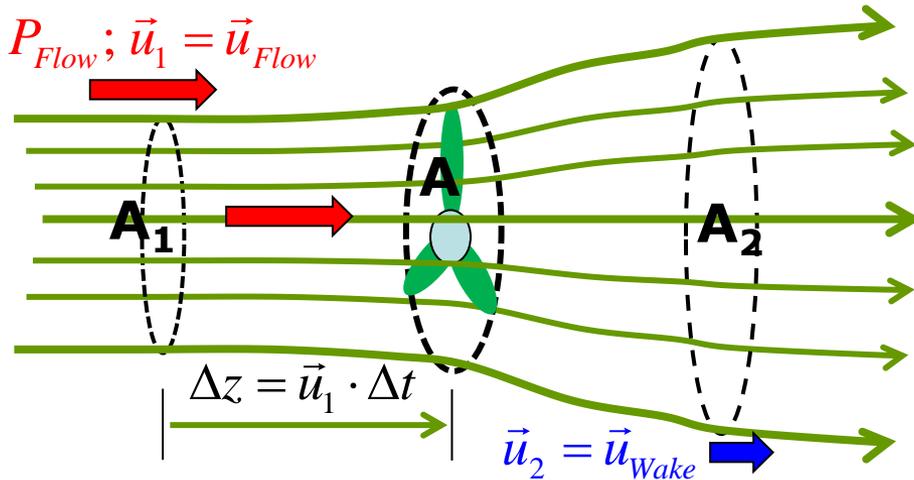
Continuity:  $j_1 A_1 = \rho A_1 \cdot u_1 \approx \rho A_2 \cdot u_2 = j_2 A_2$

→ Average speed  $\bar{u} := (u_1 + u_2)/2$  for mass flow  $\dot{M} = \rho_m \cdot \Delta V / \Delta t = \rho_m A \bar{u}$

Volume  $\Delta V(\bar{u})$  transfers to turbine

$$\Delta P = P_1 - P_2 \approx \frac{(\rho_m A \bar{u})}{2} (u_1^2 - u_2^2) = \frac{(\rho_m A)}{4} (u_1 + u_2) (u_1^2 - u_2^2)$$

# Fluid-Dynamic Power Transfer



At obstacle (turbine),  $u$  slows, stream-lines diverge, flow speed decreases,  $\mathbf{u}_2 = \mathbf{u}_{\text{wake}} < \mathbf{u}_1 = \mathbf{u}_{\text{wind}}$

$$E_{\text{kin}} = \frac{1}{2} \cdot (\rho_m \cdot \Delta V) \cdot u_1^2, \quad \text{volume } \Delta V$$

through  $A$  in  $\Delta t$ :

$$\text{Volume } \Delta V(u_1) = A \cdot u_1 \cdot \Delta t.$$

Delivered to turbine:  $\Delta P =: C_{\text{Turbine}} P_{\text{wind}}$  defines **power coefficient**  $C_{\text{Turbine}}$  →

$$C_{\text{Turbine}} \approx \frac{1}{2u_1^3} \cdot (u_1 + u_2) \cdot (u_1^2 - u_2^2) = \frac{1}{2} \cdot (1 + x) \cdot (1 - x^2) \quad \text{with } x := \frac{u_2}{u_1}$$

Maximum power:  $d(\Delta P)/dx = 0 \rightarrow x|_{\Delta P=\text{max}} = 1/3 \rightarrow$  self regulating stable

$$\text{Effective mean speed } \bar{u} := \frac{1}{2} u_1 (1 + x) = \frac{2}{3} u_1$$

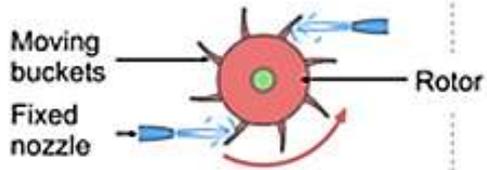
$$C_{\text{Turbine}} = \frac{\Delta P}{P_{\text{Wind}}} \leq \frac{16}{27} = 0.593 \quad \begin{array}{l} \text{Betz} \\ \text{Limit} \end{array}$$

$\bar{u} := (1 - a) u_{\text{Wind}}$   $a =$  linear (axial) induction factor of turbine  $= f(\# \text{blades}, A_i)$

# Power Generation in Impulse Turbines

## Impulse Turbine

Pelton Wheel



Heads > 300m @ atm.  $P$

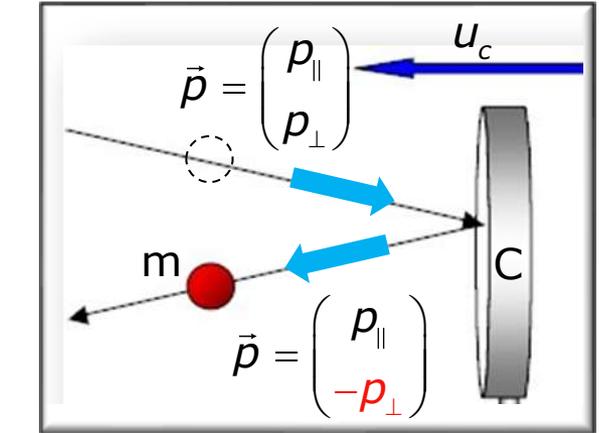
Momentum transfer  $\Delta p_{\perp}$  by  $m$  colliding with bucket (C),

→  $L$ -transfer  $\Delta \vec{L} = \vec{r} \times \Delta \vec{p}_{\perp}$

$$\text{Mass (H}_2\text{O) flow density : } j_m(u) = \rho_m \cdot u \left[ \frac{g}{\text{cm}^2 \cdot \Delta t} \right]$$

Bucket area  $A_c$  is hit per  $\Delta t$  by (velocity jet  $u$ , bucket  $u_c$ )

$$\Delta m \approx j_m(u - u_c) \cdot A_c \cdot \Delta t, \text{ rel. momentum } p = \Delta m \cdot (u - u_c),$$



$(u - u_c)$  = speed relative to bucket initially  $u_c \ll u$ , increases in time.

→ transfer  $\Delta p = 2p$  to cup in  $\Delta t$  → Force  $F = \Delta p / \Delta t$

$$\rightarrow \text{Force } F = 2p / \Delta t \approx 2 \cdot [j_m(u - u_c) \cdot A_c] \cdot (u - u_c) = 2\rho_m A_c (u - u_c)^2$$

← Example of dissipative force

Energy (work) transfer to bucket :  $\Delta E = F \cdot \Delta x = F \cdot u_c \cdot \Delta t$

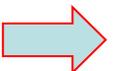
$$\text{Power transferred to bucket : } P = F \cdot u_c = [2\rho_m A_c] (u - u_c)^2 \cdot u_c$$

Speed of bucket increases, maximum power transfer  $P_{\max} \rightarrow u_c = \frac{1}{3} u$

$$P_{\max} = \frac{2}{27} [\rho_m \cdot A_c] \cdot u^3 = \frac{4}{27} \left[ \frac{1}{2} \rho_m \cdot u^2 \right] \cdot \underbrace{(A_c \cdot u)}_{\dot{Q} = dV/dt} = \frac{4}{27} \frac{d}{dt} \left( \frac{1}{2} m \cdot u^2 \right)$$

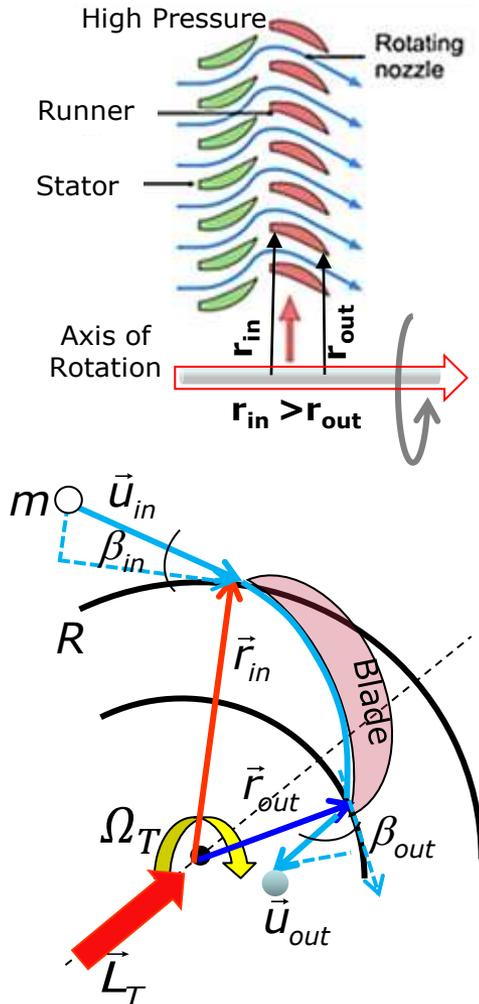
Converts  $\lesssim 16\%$  of incoming  $P$

Other Turbines



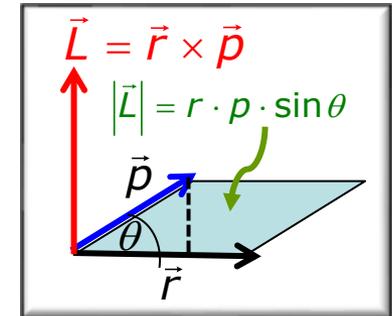
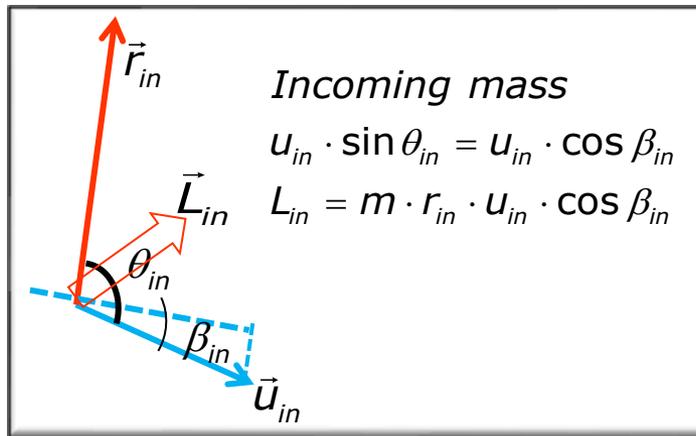
# Angular Momentum Transfer in Reaction Turbines

## Gen Reaction Turbine



Energy transfer leads to speed up of turbine rotation = increased angular momentum  $\vec{L}_T$  by  $\Delta\vec{L}_T$ . Driving fluid has lost this angular momentum.

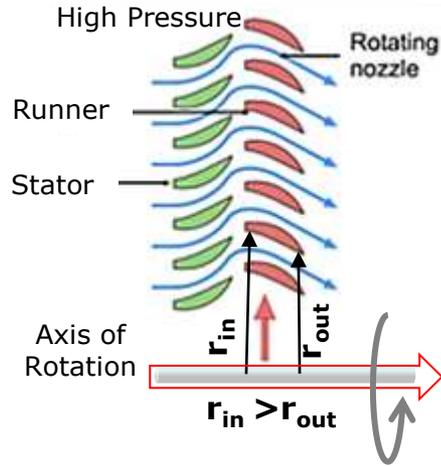
$$\vec{L}_{out} = \vec{L}_{in} - \Delta\vec{L}_T \quad \text{all parallel}$$



Outer vector product of two vectors  $\vec{r}$  and  $\vec{p}$

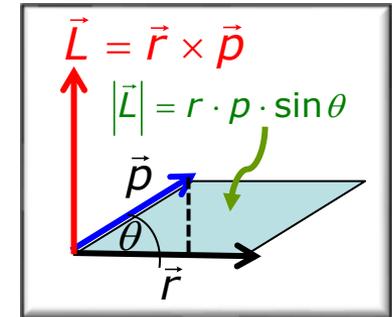
# Angular Momentum Transfer in Turbines

## Gen Reaction Turbine

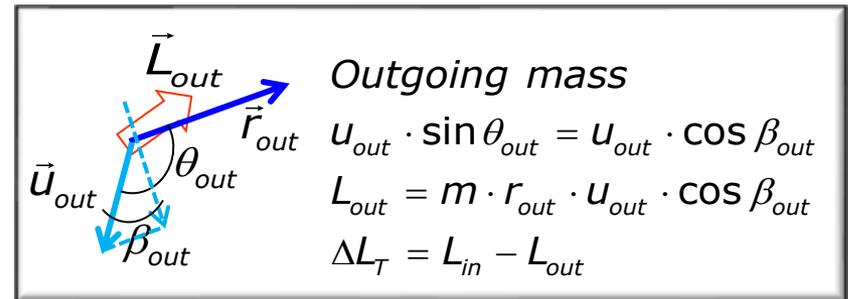
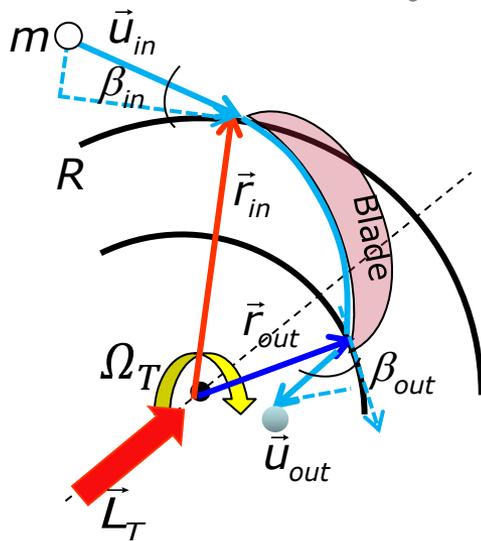


Energy transfer leads to speed up of turbine rotation = increased angular momentum  $\vec{L}_T$  by  $\Delta\vec{L}_T$ . Driving fluid has lost this angular momentum.

$$\vec{L}_{out} = \vec{L}_{in} - \Delta\vec{L}_T \quad \text{all parallel}$$

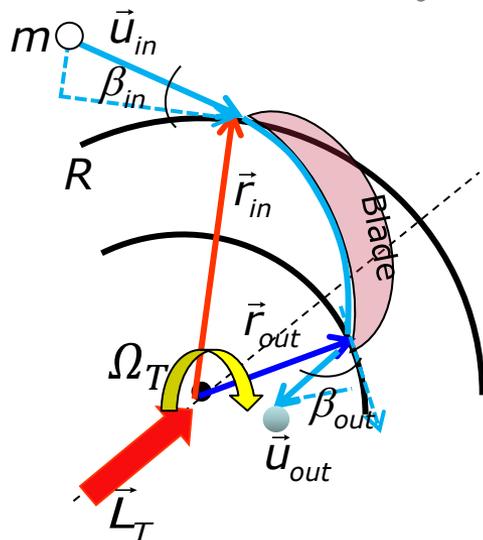
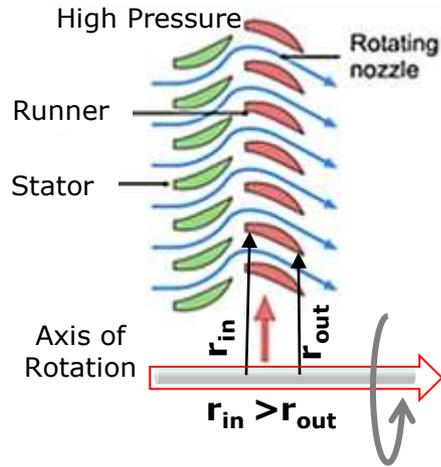


Outer vector product of two vectors  $\vec{r}$  and  $\vec{p}$



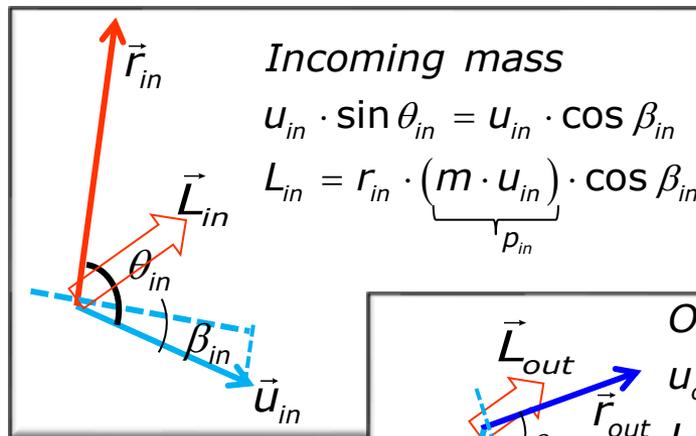
# Angular Momentum Transfer in Hydro Turbines

## Gen Reaction Turbine



Energy transfer leads to speed up of turbine rotation = increased angular momentum  $\vec{L}_T$  by  $\Delta\vec{L}_T$ . Driving fluid has lost this angular momentum.

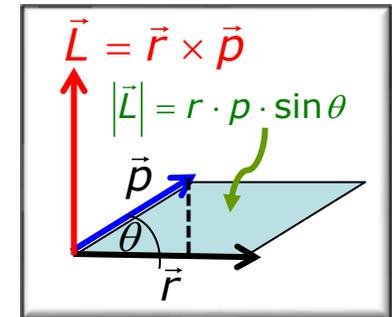
$$\vec{L}_{out} = \vec{L}_{in} - \Delta\vec{L}_T \quad \text{all parallel}$$



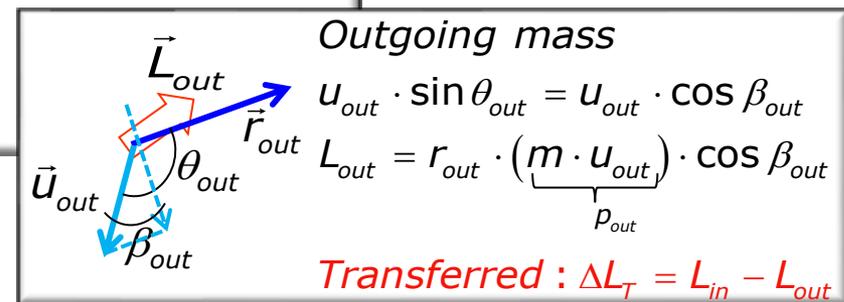
Incoming mass

$$u_{in} \cdot \sin \theta_{in} = u_{in} \cdot \cos \beta_{in}$$

$$L_{in} = r_{in} \cdot (m \cdot u_{in}) \cdot \cos \beta_{in}$$



Outer vector product of two vectors  $\vec{r}$  and  $\vec{p}$



Outgoing mass

$$u_{out} \cdot \sin \theta_{out} = u_{out} \cdot \cos \beta_{out}$$

$$L_{out} = r_{out} \cdot (m \cdot u_{out}) \cdot \cos \beta_{out}$$

Transferred:  $\Delta L_T = L_{in} - L_{out}$

$$\rightarrow \text{Torque } M = \Delta L_T / \Delta t = \dot{m} \cdot (r_{in} \cdot u_{in} \cdot \cos \beta_{in} - r_{out} \cdot u_{out} \cdot \cos \beta_{out})$$

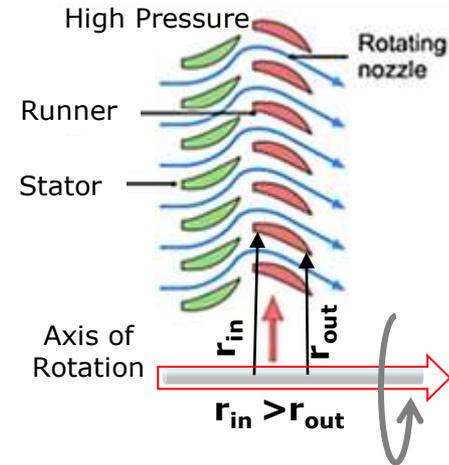
$$\text{Power } P = M \cdot \Omega_T ; \quad \text{Mass flow } \dot{m} = \rho_m \cdot \dot{Q}$$

Euler's Turbine Equation

$$P = \Omega_T \cdot \rho_m \cdot \dot{Q} \cdot (r_{in} \cdot u_{in} \cdot \cos \beta_{in} - r_{out} \cdot u_{out} \cdot \cos \beta_{out})$$

# Angular Momentum Transfer in Turbines

## Gen Reaction Turbine



Angular momentum to turbine (runner) by driving fluid (water)

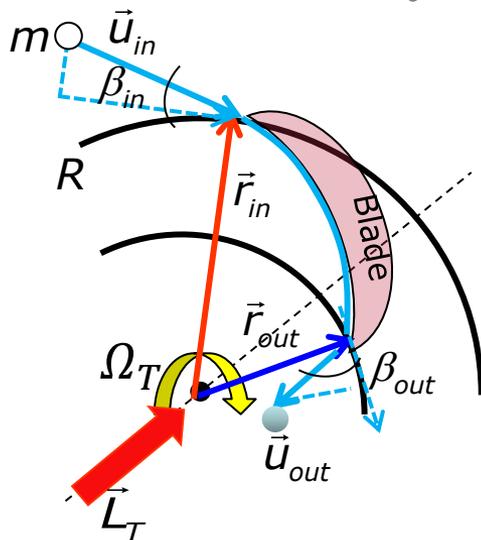
$$\Delta \vec{L}_T = \vec{L}_{in} - \vec{L}_{out}; \text{ Torque } M = \Delta L_T / \Delta t; \text{ Power } P = M \cdot \Omega_T$$

Turbine power does not depend on many construction details but **blade geometry** → maximize angular momentum transfer!

$$P = \Omega_T \cdot \rho_m \cdot \dot{Q} \cdot [(\vec{r} \times \vec{u})_{in} - (\vec{r} \times \vec{u})_{out}]$$

**Euler's Turbine Equation**

Power is maximized if fluid brings in maximum angular momentum ( $\beta_{in}=0^\circ$ ) and carries no angular momentum on the way out ( $\beta_{out}=90^\circ$ ) → **tangential inflow & radial outflow**.



$$P_{max} = \Omega_T \cdot \underbrace{\rho_m \cdot \dot{Q}}_{=dm/dt} \cdot r_{in} \cdot u_{in} \cdot \cos \beta_{in} \rightarrow$$

$$P_{max} = \dot{m} \cdot R \cdot \Omega_T \cdot (u_{in})_{tang}$$

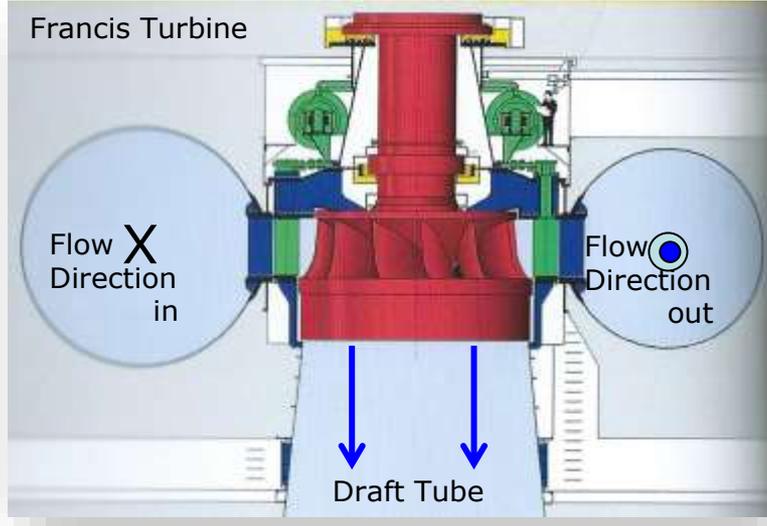
$R$  = injection radius for turbine,  
 $(u_{in})_{tang}$  = tangential jet velocity

High power produced by large turbines: high water inflow + tangential injection ( $\beta_{in}=0^\circ$ ) + radial outflow ( $\beta_{out}=90^\circ$ ).

Synchronized el. power output steered by governor circuitry controlling gate position.

# Turbine Types

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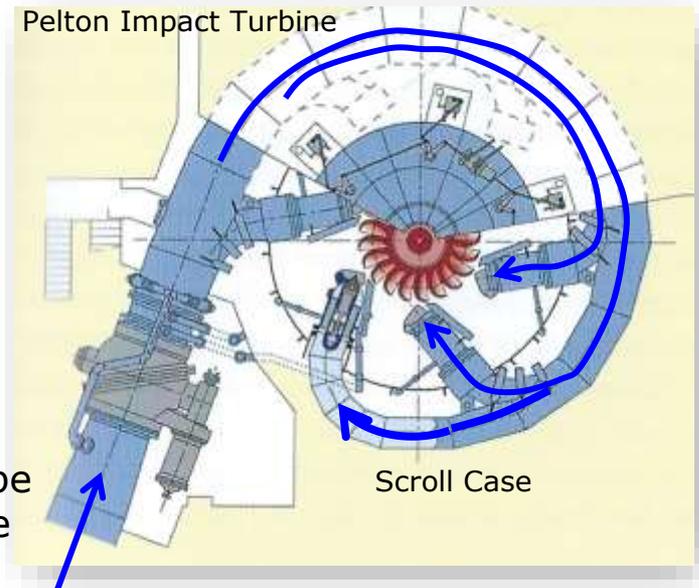


Hydro turbines are impact or reaction turbines.

Francis Turbine, radial flow, dia 0.5- 6 m  
Fully submerged, horizontal or vertical modes.  
Axial outflow.

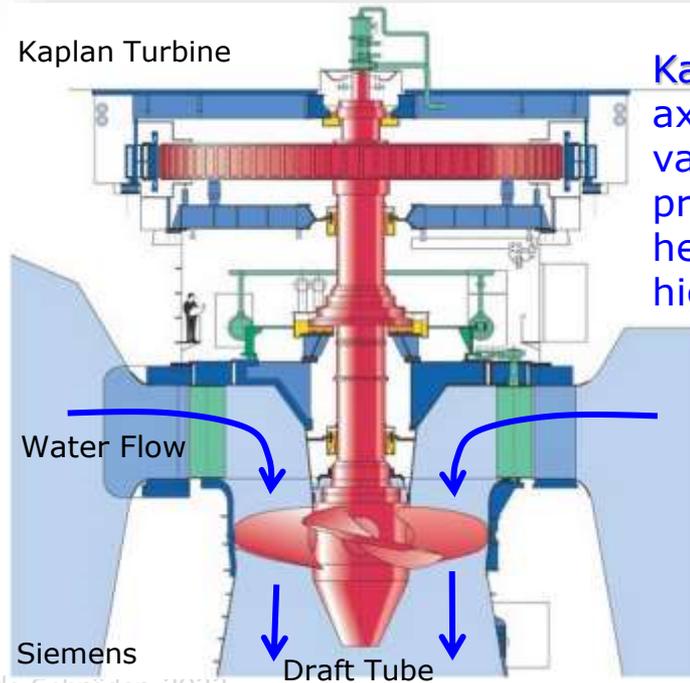
Popular design, versatile & useful for very different effective heads

Pelton impact/impulse turbine,  
tangential flow, fixed buckets, low head, low/medium flow.



Kaplan Turbine

Kaplan Turbine,  
axial flow,  
variable-pitch propeller. Low head (<50m), high flow.



Scroll type inlet tube

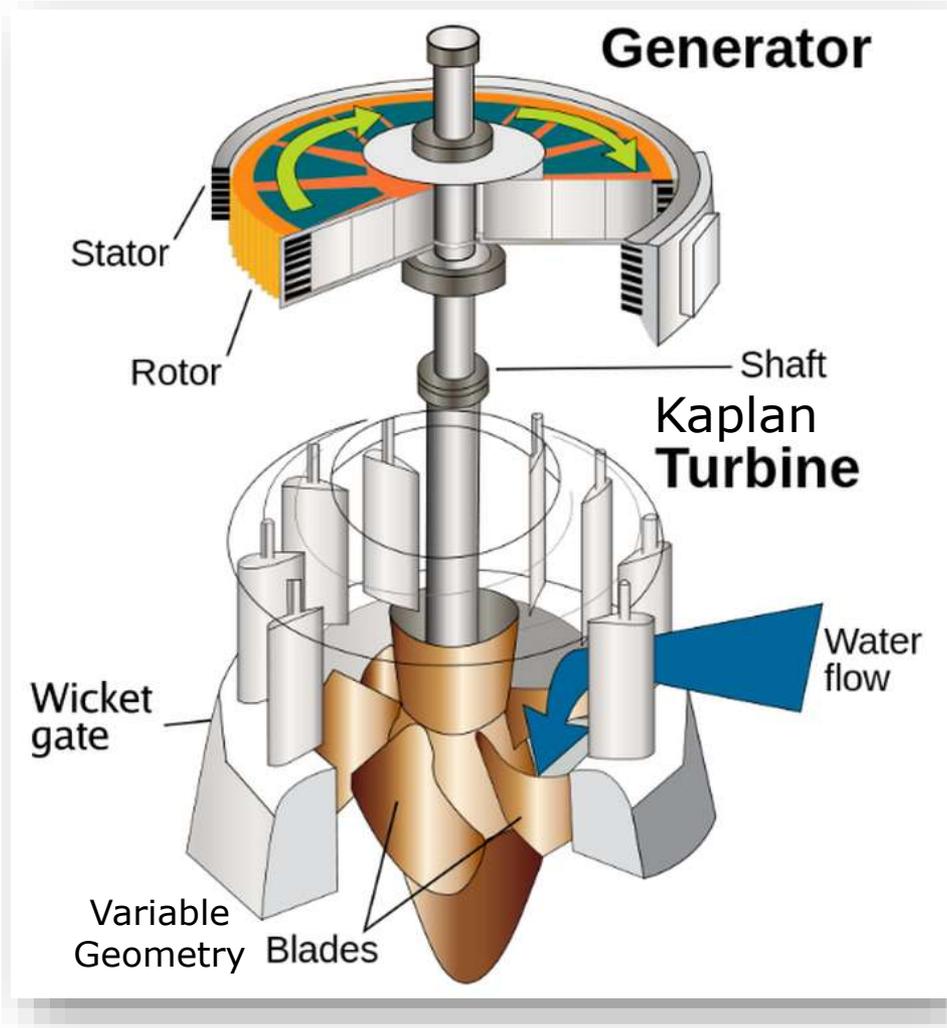
Scroll Case

ESTS 3-5-2 Hydro Power

# Turbine Arrangements

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ESTS 3-5-2 Hydro Power



Propeller turbines for low heads. Fixed blades or variable pitch. Schematics of power generation with a **Kaplan turbine** = high efficiency @all loads/heads because of adjustable propeller blades.

**Francis turbine:** Heads < 360 m. Guide vanes → tangential injection → radial out flow "Runner"



Wikipedia